



SVCM SECURITIES PRIVATE LIMITED

Member : NSE | BSE | MCX | CDSL Equity | Derivatives | Currency | Commodities
Sebi Reg No.: INZ000293732 CIN No.: U65990MH2019PTC326901

Policy for inactive clients account:-

Inactive client means client who is inactive during last 12 months immediately preceding the end of the previous month.

A list of inactive clients shall be prepared from the back office software on the last day of every month and shall be submitted to the concerned department after confirmation with the management. The management will approve a final list of inactive clients.

A copy of the list is also forwarded to dealers who operate our BOLT or NEAT terminals.

The concerned department shall mark the client status as "inactive" or "dormant" in various front office software of CTCL and IML and back office accounting software.

After inactive marking, if any orders are received, the dealer shall take reasonable steps to identify the identity of the client and to ensure that the orders are received from the same client. The dealer shall use various techniques like call back, asking personal detail questions, last trade date, outstanding positions etc to confirm the identity of the caller. They may use any other technique which is reasonable. In case of a doubt the case shall be referred to the management or concerned Sub-Broker or introducer.

Dormant client has to update their KYC details at the time of fresh order, if required.

For reactivation of the clients following documents required

Individual :-

1. KYC form,
2. Photo
3. PAN Card copy with sign,
4. Aadhar Card copy with sign,
5. Cancelled Cheque copy with sign ,
6. 6 months bank statements.

Non Individual :-

- HUF Check List
1. HUF KYC Form
 2. PAN Card (HUF - with stamp & Sign)
 3. Address proof (HUF -with stamp & Sign)
 4. KARTA (KYC Form with PAN Card & Aadhar card with sign,Photo)
 5. HUF declaration (With family Sign)
 6. Original Cancelled Cheque
 7. Latest 6 Month Bank statement .

Reg. Add: B702, Divine Jalpa, Gomati Bhuvan, Jambli Galli, Borivali (West), Mumbai - 400092

Tel. No.: 022 29700323

Website: svcm.in

Email: info@svcm.in



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- Company Check list

1. Company KYC form
2. Company Pan card
3. Company address proof
4. Cancelled Cheque
5. Authorised person / director Pan proof
6. Authorised person / director address proof
7. Authorised person / director KYC forms
8. latest bank statement
9. Board Resolution on company letterhead With Two Signature (format attached)
10. List of director with photo on Company letterhead
11. Shareholding Pattern(100%) on Company Letterhead
12. List of authorize signotaries with signature On Company Letterhead
13. Last 2 years company Balance sheet
14. Incorporation Certificate
15. MOA / AOA
16. MCA 21

All document attested by Client with company stamp.

For SVCN Securities Private Limited

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DIRECTOR/AUTHORISED

Mehul C Jain
Director
DIN: 08486245
Place: Mumbai

Reg. Add: B702, Divine Jalpa, Gomati Bhuvan, Jambli Galli, Borivali (West), Mumbai - 400092

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