Member: NSE | BSE | MCX | CDSL Equity | Derivatives | Currency | Commodities Sebi Reg No.: INZ000293732 CIN No.: U65990MH2019PTC326901

#### Annexure - B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

#### Data for the month ending Sept 2024

| S<br>N | Received<br>from              | Carried forward from previou s month | Receive<br>d during<br>the<br>month | Total<br>Pendin<br>g | Resolved<br>* | Pending a<br>of the<br>month**          | at the end                              | Average<br>Resolutio<br>n time^<br>(in days) |
|--------|-------------------------------|--------------------------------------|-------------------------------------|----------------------|---------------|-----------------------------------------|-----------------------------------------|----------------------------------------------|
|        |                               |                                      |                                     |                      |               | Pending<br>for less<br>than 3<br>Months | Pending<br>for more<br>than 3<br>Months |                                              |
| 1      | Directly<br>from<br>Investors | N/A                                  | N/A                                 | N/A                  | N/A           | N/A                                     | N/A                                     | N/A                                          |
| 2      | SEBI<br>(SCORES)              | N/A                                  | N/A                                 | N/A                  | N/A           | N/A                                     | N/A                                     | N/A                                          |
| 3      | Stock<br>Exchanges            | N/A                                  | N/A                                 | N/A                  | N/A           | N/A                                     | N/A                                     | N/A                                          |
| 4      | Other<br>Sources<br>(if any)  | N/A                                  | N/A                                 | N/A                  | N/A           | N/A                                     | N/A                                     | N/A                                          |
| 5      | Grand<br>Total                | N/A                                  | N/A                                 | N/A                  | N/A           | N/A                                     | N/A                                     | N/A                                          |

Reg. Add: B702, Divine Jalpa, Gomati Bhuvan, Jambli Galli, Borivali (West), Mumbai - 400092

Tel. No.: 022 29700323 Website: svcm.in Email: info@svcm.in



Member: NSE | BSE | MCX | CDSL Equity | Derivatives | Currency | Commodities Sebi Reg No.: INZ000293732 CIN No.: U65990MH2019PTC326901

### Trend of monthly disposal of complaints

| SN | Month          | Carried forward from previous month | Received | Resolved* | Pending** |
|----|----------------|-------------------------------------|----------|-----------|-----------|
| 1  | April -2021    | 0                                   | 0        | 0         | 0         |
| 2  | May-2021       | 0                                   | 0        | 0         | 0         |
| 3  | June-2021      | 0                                   | 0        | 0         | 0         |
| 4  | July-2021      | 0                                   | 0        | 0         | 0         |
| 5  | Aug-2021       | 0                                   | 0        | 0         | 0         |
| 6  | September-2021 | 0                                   | 0        | 0         | 0         |
| 7  | October-2021   | 0                                   | 0        | 0         | 0         |
| 8  | November-2021  | 0                                   | 0        | 0         | 0         |
| 9  | December-2021  | 0                                   | 0        | 0         | 0         |
| 10 | January-2022   | 0                                   | 0        | 0         | 0         |
| 11 | February-2022  | 0                                   | 1        | 1         | 0         |
| 12 | March-2022     | 0                                   | 0        | 0         | 0         |
| 13 | April – 2022   | 0                                   | 0        | 0         | 0         |
| 14 | May- 2022      | 0                                   | 0        | 0         | 0         |
| 15 | June - 2022    | 0                                   | 0        | 0         | 0         |
| 16 | July - 2022    | 0                                   | 0        | 0         | 0         |
| 17 | Aug - 2022     | 0                                   | 0        | 0         | 0         |
| 18 | Sept - 2022    | 0                                   | 0        | 0         | 0         |
| 19 | Oct - 2022     | 0                                   | 0        | 0         | 0         |
| 20 | Nov - 2022     | 0                                   | 0        | 0         | 0         |
| 21 | Dec - 2022     | 0                                   | 0        | 0         | 0         |
| 22 | Jan - 2023     | 0                                   | 0        | 0         | 0         |
| 23 | Feb - 2023     | 0                                   | 0        | 0         | 0         |
| 24 | March - 2023   | 0                                   | 0        | 0         | 0         |
| 25 | April – 2023   | 0                                   | 0        | 0         | 0         |
| 26 | May - 2023     | 0                                   | 0        | 0         | 0         |
| 27 | June - 2023    | 0                                   | 0        | 0         | 0         |
| 28 | July - 2023    | 0                                   | 0        | 0         | 0         |
| 29 | Aug - 2023     | 0                                   | 0        | 0         | 0         |
| 30 | Sept - 2023    | 0                                   | 0        | 0         | 0         |

Reg. Add: B702, Divine Jalpa, Gomati Bhuvan, Jambli Galli, Borivali (West), Mumbai - 400092

Tel. No.: 022 29700323

Website: svcm.in

Email: info@svcm.in



Member : NSE | BSE | MCX | CDSL Equity | Derivatives | Currency | Commodities Sebi Reg No.: INZ000293732 CIN No.: U65990MH2019PTC326901

| SN | Month        | Carried forward from previous month | Received | Resolved* | Pending** |
|----|--------------|-------------------------------------|----------|-----------|-----------|
| 31 | Oct - 2023   | 0                                   | 0        | 0         | 0         |
| 32 | Nov - 2023   | 0                                   | 0        | 0         | 0         |
| 33 | Dec - 2023   | 0                                   | 0        | 0         | 0         |
| 34 | Jan - 2024   | 0                                   | 0        | 0         | 0         |
| 35 | Feb - 2024   | 0                                   | 0        | 0         | 0         |
| 36 | March - 2024 | 0                                   | 0        | 0         | 0         |
| 37 | April – 2024 | 0                                   | 0        | 0         | 0         |
| 38 | May - 2024   | 0                                   | 0        | 0         | 0         |
| 39 | June - 2024  | 0                                   | 0        | 0         | 0         |
| 40 | July - 2024  | 0                                   | 0        | 0         | 0         |
| 41 | Aug - 2024   | 0                                   | 0        | 0         | 0         |
| 42 | Sept - 2024  | 0                                   | 0        | 0         | 0         |
|    | Grand Total  | 0                                   | 1        | 1         | 0         |

Reg. Add: B702, Divine Jalpa, Gomati Bhuvan, Jambli Galli, Borivali (West), Mumbai - 400092

Tel. No.: 022 29700323

Website: svcm.in

Email: info@svcm.in



Member: NSE | BSE | MCX | CDSL Equity | Derivatives | Currency | Commodities Sebi Reg No.: INZ000293732 CIN No.: U65990MH2019PTC326901

### Trend of annual disposal of complaints

| SN | Year        | Carried forwards from previous year | Received during the year | Resolved<br>during<br>the year | Pending at<br>the end of<br>the year |
|----|-------------|-------------------------------------|--------------------------|--------------------------------|--------------------------------------|
| 1  | 2017-18     | N/A                                 | N/A                      | N/A                            | N/A                                  |
| 2  | 2018-19     | N/A                                 | N/A                      | N/A                            | N/A                                  |
| 3  | 2019-20     | N/A                                 | N/A                      | N/A                            | N/A                                  |
| 4  | 2020-21     | N/A                                 | N/A                      | N/A                            | N/A                                  |
| 5  | 2021-22     | N/A                                 | 01                       | 01                             | N/A                                  |
| 6  | 2022-23     | N/A                                 | N/A                      | N/A                            | N/A                                  |
| 7  | 2023-24     | N/A                                 | N/A                      | N/A                            | N/A                                  |
| 8  | 2024-25     | N/A                                 | N/A                      | N/A                            | N/A                                  |
|    | Grand Total | N/A                                 | 01                       | 01                             | N/A                                  |

Reg. Add: B702, Divine Jalpa, Gomati Bhuvan, Jambli Galli, Borivali (West), Mumbai - 400092

Tel. No.: 022 29700323

Website: svcm.in

Email: info@svcm.in