Member: NSE | BSE | MCX | CDSL Equity | Derivatives | Currency | Commodities Sebi Reg No.: INZ000293732 CIN No.: U65990MH2019PTC326901

Annexure - B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for the month ending Nov 2024

| S N | Received from | Carried forward from previou s month | Receive d during the month | Total Pendin g | Resolved * | Pending at the end of the month** | | Average Resolutio n time^ (in days) |
|--------|-------------------------------|---|-------------------------------------|----------------------|---------------|---|---|--|
| | | | | | | Pending for less than 3 Months | Pending for more than 3 Months | |
| 1 | Directly from Investors | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| 2 | SEBI (SCORES) | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| 3 | Stock Exchanges | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| 4 | Other Sources (if any) | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| 5 | Grand Total | N/A | N/A | N/A | N/A | N/A | N/A | N/A |

Reg. Add: B702, Divine Jalpa, Gomati Bhuvan, Jambli Galli, Borivali (West), Mumbai - 400092

Tel. No.: 022 29700323 Website: svcm.in Email: info@svcm.in



Member : NSE | BSE | MCX | CDSL Equity | Derivatives | Currency | Commodities Sebi Reg No.: INZ000293732 CIN No.: U65990MH2019PTC326901

Trend of monthly disposal of complaints

| SN | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|----|-----------------------------|-------------------------------------|----------|-----------|-----------|
| 01 | April 2021 To March 2022 | 0 | 1 | 1 | 0 |
| 02 | April 2022 To March 2023 | 0 | 0 | 0 | 0 |
| 03 | April 2023 To March 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 1 | 1 | 0 |

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Trend of monthly disposal of complaints

| SN | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|----|--------------|-------------------------------------|----------|-----------|-----------|
| 01 | April – 2024 | 0 | 0 | 0 | 0 |
| 02 | May - 2024 | 0 | 0 | 0 | 0 |
| 03 | June - 2024 | 0 | 0 | 0 | 0 |
| 04 | July - 2024 | 0 | 0 | 0 | 0 |
| 05 | Aug - 2024 | 0 | 0 | 0 | 0 |
| 06 | Sept - 2024 | 0 | 0 | 0 | 0 |
| 07 | Oct – 2024 | 0 | 0 | 0 | 0 |
| 08 | Nov - 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 1 | 1 | 0 |

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Trend of annual disposal of complaints

| SN | Year | Carried forwards from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|----|-------------|-------------------------------------|--------------------------|--------------------------|--------------------------------------|
| 1 | 2017-18 | N/A | N/A | N/A | N/A |
| 2 | 2018-19 | N/A | N/A | N/A | N/A |
| 3 | 2019-20 | N/A | N/A | N/A | N/A |
| 4 | 2020-21 | N/A | N/A | N/A | N/A |
| 5 | 2021-22 | N/A | 01 | 01 | N/A |
| 6 | 2022-23 | N/A | N/A | N/A | N/A |
| 7 | 2023-24 | N/A | N/A | N/A | N/A |
| 8 | 2024-25 | N/A | N/A | N/A | N/A |
| | Grand Total | N/A | 01 | 01 | N/A |