



SVCN SECURITIES PRIVATE LIMITED

Member : NSE | BSE | MCX | CDSL Equity | Derivatives | Currency | Commodities
 Sebi Reg No.: INZ000293732 CIN No.: U65990MH2019PTC326901

Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for the month ending MAY 2024

| S N | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved * | Pending at the end of the month** | | Average Resolution time^ (in days) |
|--------|-------------------------|-------------------------------------|---------------------------|---------------|------------|-----------------------------------|--------------------------------|------------------------------------|
| | | | | | | Pending for less than 3 Months | Pending for more than 3 Months | |
| 1 | Directly from Investors | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| 2 | SEBI (SCORES) | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| 3 | Stock Exchanges | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| 4 | Other Sources (if any) | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| 5 | Grand Total | N/A | N/A | N/A | N/A | N/A | N/A | N/A |

Reg. Add: B702, Divine Jalpa, Gomati Bhuvan, Jambli Galli, Borivali (West), Mumbai - 400092

Tel. No.: 022 29700323

Website: svcm.in

Email: info@svcm.in



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Trend of monthly disposal of complaints

| SN | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|----|----------------|-------------------------------------|----------|-----------|-----------|
| 1 | April -2021 | 0 | 0 | 0 | 0 |
| 2 | May-2021 | 0 | 0 | 0 | 0 |
| 3 | June-2021 | 0 | 0 | 0 | 0 |
| 4 | July-2021 | 0 | 0 | 0 | 0 |
| 5 | Aug-2021 | 0 | 0 | 0 | 0 |
| 6 | September-2021 | 0 | 0 | 0 | 0 |
| 7 | October-2021 | 0 | 0 | 0 | 0 |
| 8 | November-2021 | 0 | 0 | 0 | 0 |
| 9 | December-2021 | 0 | 0 | 0 | 0 |
| 10 | January-2022 | 0 | 0 | 0 | 0 |
| 11 | February-2022 | 0 | 1 | 1 | 0 |
| 12 | March-2022 | 0 | 0 | 0 | 0 |
| 13 | April – 2022 | 0 | 0 | 0 | 0 |
| 14 | May – 2022 | 0 | 0 | 0 | 0 |
| 15 | June -2022 | 0 | 0 | 0 | 0 |
| 16 | July – 2022 | 0 | 0 | 0 | 0 |
| 17 | Aug – 2022 | 0 | 0 | 0 | 0 |
| 18 | Sept – 2022 | 0 | 0 | 0 | 0 |
| 19 | Oct – 2022 | 0 | 0 | 0 | 0 |
| 20 | Nov – 2022 | 0 | 0 | 0 | 0 |
| 21 | Dec – 2022 | 0 | 0 | 0 | 0 |
| 22 | Jan – 2023 | 0 | 0 | 0 | 0 |
| 23 | Feb – 2023 | 0 | 0 | 0 | 0 |
| 24 | March – 2023 | 0 | 0 | 0 | 0 |
| 25 | April – 2023 | 0 | 0 | 0 | 0 |
| 26 | May – 2023 | 0 | 0 | 0 | 0 |
| 27 | June – 2023 | 0 | 0 | 0 | 0 |
| 28 | July – 2023 | 0 | 0 | 0 | 0 |
| 29 | Aug - 2023 | 0 | 0 | 0 | 0 |
| 30 | Sept – 2023 | 0 | 0 | 0 | 0 |

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| SN | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|----|--------------------|---|----------|-----------|-----------|
| 31 | Oct – 2023 | 0 | 0 | 0 | 0 |
| 32 | Nov – 2023 | 0 | 0 | 0 | 0 |
| 33 | Dec – 2023 | 0 | 0 | 0 | 0 |
| 34 | Jan – 2024 | 0 | 0 | 0 | 0 |
| 35 | Feb – 2024 | 0 | 0 | 0 | 0 |
| 36 | March – 2024 | 0 | 0 | 0 | 0 |
| 37 | April – 2024 | 0 | 0 | 0 | 0 |
| 38 | May - 2024 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 1 | 1 | 0 |

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Trend of annual disposal of complaints

| SN | Year | Carried forwards from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|----|--------------------|-------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1 | 2017-18 | N/A | N/A | N/A | N/A |
| 2 | 2018-19 | N/A | N/A | N/A | N/A |
| 3 | 2019-20 | N/A | N/A | N/A | N/A |
| 4 | 2020-21 | N/A | N/A | N/A | N/A |
| 5 | 2021-22 | N/A | 01 | 01 | N/A |
| 6 | 2022-23 | N/A | N/A | N/A | N/A |
| 7 | 2023-24 | N/A | N/A | N/A | N/A |
| 8 | 2024-25 | N/A | N/A | N/A | N/A |
| | Grand Total | N/A | 01 | 01 | N/A |

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