SVCM SECURITIES PRIVATE LIMITED
Member : NSE | BSE | MCX | CDSL Equity | Derivatives | Currency | Commodities Sebi Reg No.: INZ000293732 CIN No.: U65990MH2019PTC326901

Annexure - B
Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for the month ending March 2023

| S | Received from | Carried <br> forward <br> from <br> previou <br> s <br> month | Receive d during the month | Total Pendin g | Resolved | Pending a of the month** | the end | Average Resolutio n time^ (in days) |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |  | Pending for less than 3 Months | Pending for more than 3 Months |  |
| 1 | Directly from Investors | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| 2 | SEBI <br> (SCORES) | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| 3 | Stock <br> Exchanges | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| 4 | Other Sources (if any) | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| 5 | Grand Total | N/A | N/A | N/A | N/A | N/A | N/A | N/A |

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Trend of monthly disposal of complaints

| SN | Month | Carried forward <br> from previous <br> month  <br>   | Received | Resolved* | Pending** |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | April -2021 | 0 | 0 | 0 | 0 |
| 2 | May-2021 | 0 | 0 | 0 | 0 |
| 3 | June-2021 | 0 | 0 | 0 | 0 |
| 4 | July-2021 | 0 | 0 | 0 | 0 |
| 5 | Aug-2021 | 0 | 0 | 0 | 0 |
| 6 | September-2021 | 0 | 0 | 0 | 0 |
| 7 | October-2021 | 0 | 0 | 0 | 0 |
| 8 | November-2021 | 0 | 0 | 0 | 0 |
| 9 | December-2021 | 0 | 0 | 0 | 0 |
| 10 | January-2022 | 0 | 0 | 0 | 0 |
| 11 | February-2022 | 0 | 1 | 1 | 0 |
| 12 | March-2022 | 0 | 0 | 0 | 0 |
| 13 | April - 2022 | 0 | 0 | 0 | 0 |
| 14 | May- 2022 | 0 | 0 | 0 | 0 |
| 15 | June - 2022 | 0 | 0 | 0 | 0 |
| 16 | July - 2022 | 0 | 0 | 0 | 0 |
| 17 | Aug - 2022 | 0 | 0 | 0 | 0 |
| 18 | Sept - 2022 | 0 | 0 | 0 | 0 |
| 19 | Oct - 2022 | 0 | 0 | 0 | 0 |
| 20 | Nov - 2022 | 0 | 0 | 0 | 0 |
| 21 | Dec - 2022 | 0 | 0 | 0 | 0 |
| 22 | Jan - 2023 | 0 | 0 | 0 | 0 |
| 23 | Feb-2023 | 0 | 0 | 0 | 0 |
| 24 | March - 2023 | 0 | 0 | 0 | 0 |
|  | Grand Total | 0 | 1 | 1 | 0 |

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Trend of annual disposal of complaints

| SN | Year | Carried forwards <br> from previous <br> year | Received <br> during the year | Resolved <br> during <br> the year | Pending at <br> the end of <br> the year |
| :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | $2017-18$ | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| 2 | $2018-19$ | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| 3 | $2019-20$ | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| 4 | $2020-21$ | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
| 5 | $2021-22$ | $\mathrm{~N} / \mathrm{A}$ | 01 | 01 | $\mathrm{~N} / \mathrm{A}$ |
| 6 | $2022-23$ | $\mathrm{~N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ | $\mathrm{N} / \mathrm{A}$ |
|  | Grand Total | $\mathrm{N} / \mathrm{A}$ | 01 | 01 | $\mathrm{~N} / \mathrm{A}$ |

