



# SVCN SECURITIES PRIVATE LIMITED

Member : NSE | BSE | MCX | CDSL Equity | Derivatives | Currency | Commodities  
 Sebi Reg No.: INZ000293732 CIN No.: U65990MH2019PTC326901

## Annexure – B

**Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites**

### Data for the month ending Dec 2023

| S<br>N | Received<br>from              | Carried<br>forward<br>from<br>previou<br>s<br>month | Receive<br>d during<br>the<br>month | Total<br>Pendin<br>g | Resolved<br>* | Pending at the end<br>of the<br>month** |   | Average<br>Resolutio<br>n time^<br>(in days) |
|--------|-------------------------------|---|-------------------------------------|----------------------|---------------|---|---|--|
|        |                               |   |                                     |                      |               | Pending<br>for less<br>than 3<br>Months | Pending<br>for more<br>than 3<br>Months |  |
| 1      | Directly<br>from<br>Investors | 0   | 00                                  | 0                    | 00            | 0                                       | 0                                       | 00   |
| 2      | SEBI<br>(SCORES)              | N/A   | N/A                                 | N/A                  | N/A           | N/A                                     | N/A                                     | N/A  |
| 3      | Stock<br>Exchanges            | N/A   | N/A                                 | N/A                  | N/A           | N/A                                     | N/A                                     | N/A  |
| 4      | Other<br>Sources<br>(if any)  | N/A   | N/A                                 | N/A                  | N/A           | N/A                                     | N/A                                     | N/A  |
| 5      | <b>Grand<br/>Total</b>        | 0   | 00                                  | 0                    | 00            | 0                                       | 0                                       | N/A  |

**Reg. Add:** B702, Divine Jalpa, Gomati Bhuvan, Jambli Galli, Borivali (West), Mumbai - 400092

**Tel. No.:** 022 29700323

**Website:** [svcm.in](http://svcm.in)

**Email:** [info@svcm.in](mailto:info@svcm.in)



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## Trend of monthly disposal of complaints

| SN | Month          | Carried forward from previous month | Received | Resolved* | Pending** |
|----|----------------|-------------------------------------|----------|-----------|-----------|
| 1  | April -2021    | 0                                   | 0        | 0         | 0         |
| 2  | May-2021       | 0                                   | 0        | 0         | 0         |
| 3  | June-2021      | 0                                   | 0        | 0         | 0         |
| 4  | July-2021      | 0                                   | 0        | 0         | 0         |
| 5  | Aug-2021       | 0                                   | 0        | 0         | 0         |
| 6  | September-2021 | 0                                   | 0        | 0         | 0         |
| 7  | October-2021   | 0                                   | 0        | 0         | 0         |
| 8  | November-2021  | 0                                   | 0        | 0         | 0         |
| 9  | December-2021  | 0                                   | 0        | 0         | 0         |
| 10 | January-2022   | 0                                   | 0        | 0         | 0         |
| 11 | February-2022  | 0                                   | 1        | 1         | 0         |
| 12 | March-2022     | 0                                   | 0        | 0         | 0         |
| 13 | April – 2022   | 0                                   | 0        | 0         | 0         |
| 14 | May – 2022     | 0                                   | 0        | 0         | 0         |
| 15 | June – 2022    | 0                                   | 0        | 0         | 0         |
| 16 | July – 2022    | 0                                   | 0        | 0         | 0         |
| 17 | Aug – 2022     | 0                                   | 0        | 0         | 0         |
| 18 | Sept – 2022    | 0                                   | 0        | 0         | 0         |
| 19 | Oct – 2022     | 0                                   | 0        | 0         | 0         |
| 20 | Nov – 2022     | 0                                   | 0        | 0         | 0         |
| 21 | Dec – 2022     | 0                                   | 0        | 0         | 0         |
| 22 | Jan – 2023     | 0                                   | 0        | 0         | 0         |
| 23 | Feb – 2023     | 0                                   | 0        | 0         | 0         |
| 24 | March – 2023   | 0                                   | 0        | 0         | 0         |
| 25 | April – 2023   | 0                                   | 0        | 0         | 0         |
| 26 | May – 2023     | 0                                   | 0        | 0         | 0         |
| 27 | June – 2023    | 0                                   | 0        | 0         | 0         |
| 28 | July – 2023    | 0                                   | 0        | 0         | 0         |
| 29 | Aug – 2023     | 0                                   | 0        | 0         | 0         |
| 30 | Sept – 2023    | 0                                   | 0        | 0         | 0         |

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# SVCM SECURITIES PRIVATE LIMITED

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Sebi Reg No.: INZ000293732    CIN No.: U65990MH2019PTC326901

| SN | Month              | Carried forward<br>from previous<br>month | Received | Resolved* | Pending** |
|----|--------------------|---|----------|-----------|-----------|
| 31 | Oct – 2023         | 0   | 0        | 0         | 0         |
| 32 | Nov – 2023         | 0   | 0        | 0         | 0         |
| 33 | Dec – 2023         | 0   | 0        | 0         | 0         |
|    | <b>Grand Total</b> | 0   | 1        | 1         | 0         |

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## Trend of annual disposal of complaints

| SN | Year               | Carried forwards<br>from previous year | Received during<br>the year | Resolved<br>during the<br>year | Pending at<br>the end of the<br>year |
|----|--------------------|--|-----------------------------|--------------------------------|--------------------------------------|
| 1  | 2017-18            | N/A                                    | N/A                         | N/A                            | N/A                                  |
| 2  | 2018-19            | N/A                                    | N/A                         | N/A                            | N/A                                  |
| 3  | 2019-20            | N/A                                    | N/A                         | N/A                            | N/A                                  |
| 4  | 2020-21            | N/A                                    | N/A                         | N/A                            | N/A                                  |
| 5  | 2021-22            | N/A                                    | 01                          | 01                             | N/A                                  |
| 6  | 2022-23            | N/A                                    | N/A                         | N/A                            | N/A                                  |
| 7  | 2023-24            | N/A                                    | N/A                         | N/A                            | N/A                                  |
|    | <b>Grand Total</b> | N/A                                    | 01                          | 01                             | N/A                                  |

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