

SVCM SECURITIES PRIVATE LIMITED

 Member : NSE | BSE | MCX | CDSL
 Equity | Derivatives | Currency | Commodities

 Sebi Reg No.: INZ000293732
 CIN No.: U65990MH2019PTC326901

Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for the month ending Sept 2022

| S N | Received from | Carried forward from previou s month | Receive d during the month | Total Pendin g | Resolved * | Pending at the end of the month** | | Average Resolutio n time^ (in days) |
|--------|-------------------------------|---|-------------------------------------|----------------------|---------------|---|---|--|
| | | | | | | Pending for less than 3 Months | Pending for more than 3 Months | |
| 1 | Directly from Investors | 0 | 00 | 0 | 00 | 0 | 0 | 00 |
| 2 | SEBI (SCORES) | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| 3 | Stock Exchanges | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| 4 | Other Sources (if any) | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| 5 | Grand Total | 0 | 00 | 0 | 00 | 0 | 0 | N/A |



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Trend of monthly disposal of complaints

| SN | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|----|----------------|---|----------|-----------|-----------|
| 1 | April -2021 | 0 | 0 | 0 | 0 |
| 2 | May-2021 | 0 | 0 | 0 | 0 |
| 3 | June-2021 | 0 | 0 | 0 | 0 |
| 4 | July-2021 | 0 | 0 | 0 | 0 |
| 5 | Aug-2021 | 0 | 0 | 0 | 0 |
| 6 | September-2021 | 0 | 0 | 0 | 0 |
| 7 | October-2021 | 0 | 0 | 0 | 0 |
| 8 | November-2021 | 0 | 0 | 0 | 0 |
| 9 | December-2021 | 0 | 0 | 0 | 0 |
| 10 | January-2022 | 0 | 0 | 0 | 0 |
| 11 | February-2022 | 0 | 1 | 1 | 0 |
| 12 | March-2022 | 0 | 0 | 0 | 0 |
| 13 | April – 2022 | 0 | 0 | 0 | 0 |
| 14 | May – 2022 | 0 | 0 | 0 | 0 |
| 15 | June – 2022 | 0 | 0 | 0 | 0 |
| 16 | July – 2022 | 0 | 0 | 0 | 0 |
| 17 | Aug – 2022 | 0 | 0 | 0 | 0 |
| 18 | Sept – 2022 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 1 | 1 | 0 |

 Reg. Add:
 B702, Divine Jalpa, Gomati Bhuvan, Jambli Galli, Borivali (West), Mumbai - 400092

 Tel. No.:
 022 29700323
 Website:
 svcm.in
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 info@svcm.in



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Trend of annual disposal of complaints

| SN | Year | Carried forwards from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|----|-------------|--|--------------------------|--------------------------------|--------------------------------------|
| 1 | 2017-18 | N/A | N/A | N/A | N/A |
| 2 | 2018-19 | N/A | N/A | N/A | N/A |
| 3 | 2019-20 | N/A | N/A | N/A | N/A |
| 4 | 2020-21 | N/A | N/A | N/A | N/A |
| 5 | 2021-22 | N/A | 01 | 01 | N/A |
| 6 | 2022-23 | N/A | N/A | N/A | N/A |
| | Grand Total | N/A | 01 | 01 | N/A |