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## SVCM SECURITIES PRIVATE LIMITED

Member: NSE | BSE | MCX | CDSL Equity | Derivatives | Currency | Commodities Sebi Reg No.: INZ000293732 CIN No.: U65990MH2019PTC326901

#### Annexure - C

Format for Investor Complaints Data to be displayed by Depository Participants on their respective websites

## Data for the month ending Jan 2023

| S<br>N | Received from                 | Carried forward from previou s month | Receive<br>d during<br>the<br>month | Total<br>Pendin<br>g | Resolved<br>* | Pending a of the month**                | at the end                              | Average<br>Resolutio<br>n time^<br>(in days) |
|--------|-------------------------------|--------------------------------------|-------------------------------------|----------------------|---------------|---|---|--|
|        |                               |                                      |                                     |                      |               | Pending<br>for less<br>than 3<br>Months | Pending<br>for more<br>than 3<br>Months |  |
| 1      | Directly<br>from<br>Investors | 0                                    | 00                                  | 0                    | 00            | 0                                       | 0                                       | 00   |
| 2      | SEBI<br>(SCORES)              | N/A                                  | N/A                                 | N/A                  | N/A           | N/A                                     | N/A                                     | N/A  |
| 3      | Stock<br>Exchanges            | N/A                                  | N/A                                 | N/A                  | N/A           | N/A                                     | N/A                                     | N/A  |
| 4      | Other<br>Sources<br>(if any)  | N/A                                  | N/A                                 | N/A                  | N/A           | N/A                                     | N/A                                     | N/A  |
| 5      | Grand<br>Total                | 0                                    | 00                                  | 0                    | 00            | 0                                       | 0                                       | N/A  |

Reg. Add: B702, Divine Jalpa, Gomati Bhuvan, Jambli Galli, Borivali (West), Mumbai - 400092

Tel. No.: 022 29700323

Website: svcm.in

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# SVCM SECURITIES PRIVATE LIMITED

## **Trend of monthly disposal of complaints**

| SN | Month          | Carried forward from previous month | Received | Resolved* | Pending** |
|----|----------------|-------------------------------------|----------|-----------|-----------|
| 1  | April -2021    | 0                                   | 0        | 0         | 0         |
| 2  | May-2021       | 0                                   | 0        | 0         | 0         |
| 3  | June-2021      | 0                                   | 0        | 0         | 0         |
| 4  | July-2021      | 0                                   | 0        | 0         | 0         |
| 5  | Aug-2021       | 0                                   | 0        | 0         | 0         |
| 6  | September-2021 | 0                                   | 0        | 0         | 0         |
| 7  | October-2021   | 0                                   | 0        | 0         | 0         |
| 8  | November-2021  | 0                                   | 0        | 0         | 0         |
| 9  | December-2021  | 0                                   | 0        | 0         | 0         |
| 10 | January-2022   | 0                                   | 0        | 0         | 0         |
| 11 | February- 2022 | 0                                   | 0        | 0         | 0         |
| 12 | March – 2022   | 0                                   | 0        | 0         | 0         |
| 13 | April – 2022   | 0                                   | 0        | 0         | 0         |
| 14 | May – 2022     | 0                                   | 0        | 0         | 0         |
| 15 | June – 2022    | 0                                   | 0        | 0         | 0         |
| 16 | July – 2022    | 0                                   | 0        | 0         | 0         |
| 17 | Aug – 2022     | 0                                   | 0        | 0         | 0         |
| 18 | Sept – 2022    | 0                                   | 0        | 0         | 0         |
| 19 | Oct – 2022     | 0                                   | 0        | 0         | 0         |
| 20 | Nov – 2022     | 0                                   | 0        | 0         | 0         |
| 21 | Dec – 2022     | 0                                   | 0        | 0         | 0         |
| 22 | Jan- 2023      | 0                                   | 0        | 0         | 0         |
|    | Grand Total    | 0                                   | 0        | 0         | 0         |

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## SVCM SECURITIES PRIVATE LIMITED

### **Trend of annual disposal of complaints**

| SN | Year        | Carried forwards from previous year | Received during the year | Resolved during the year | Pending at<br>the end of the<br>year |
|----|-------------|-------------------------------------|--------------------------|--------------------------|--------------------------------------|
| 1  | 2017-18     | N/A                                 | N/A                      | N/A                      | N/A                                  |
| 2  | 2018-19     | N/A                                 | N/A                      | N/A                      | N/A                                  |
| 3  | 2019-20     | N/A                                 | N/A                      | N/A                      | N/A                                  |
| 4  | 2020-21     | N/A                                 | N/A                      | N/A                      | N/A                                  |
| 5  | 2021-22     | N/A                                 | N/A                      | N/A                      | N/A                                  |
| 6  | 2022-23     | N/A                                 | N/A                      | N/A                      | N/A                                  |
|    | Grand Total | N/A                                 | N/A                      | N/A                      | N/A                                  |

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